

Funding opportunities on the European level – an introduction to Horizon Europe

Eva Kremshuber, Research Services TU Braunschweig 4th of May 2023

This is Horizon Europe...

Vision	Europe increases in being green, digital, innovative, resilient and participative.
Objectives	 create excellent knowledge and technologies create jobs fully engage the EU's talent pool boost economic growth promote industrial competitiveness strengthen European Research Area
Duration	7 years from 2021 to 2027
Budget	95.5 Bn. EUR



Political context

Sustainable Development goals of the United Nations

https://sdgs.un.org/goals





Political context

Priorities of the European Commission 2019 – 2024

https://ec.europa.eu/info/strategy/priorities-2019-2024_en



A European Green Deal



A Europe fit for the Digital Age



An economy that works for people



A stronger Europe in the world



Promoting our European way of life



A new push for European Democracy



Structure Horizon Europe

THREE PILLARS FOR IMPLEMENTATION







WIDENING PARTICIPATION AND STRENGTHENING THE EUROPEAN RESEARCH AREA

Widening participation and spreading excellence

Reforming and Enhancing the European R&I system





Commission

Types of Actions (projects)

Technische`

Universität Braunschweig

Research Innovation and market EIC projects rather close to the market and and Collaborative projects for research and innovation deployment therefore with a high innovation potential innovation activities action action (RIA) (IMDA) **Training** Marie-Sklodowska-Curie projects boosting Collaborative projects with a high the career of young researcher through and Innovation amount of innovation output (e.g. 50% mobility training and mobility activities action (IA) Industrial partner) action (TMA) Coordina-Pre-No research projects but rather tion and Pre-commercial activities to strengthen public "supporting" projects, e.g. for creating a commercial support procurement network for a specific topic or creating procureaction policy recommendations ment (PCP) (CSA) **Public** Financial support for R&I funding Activities to deploy innovative solutions **Programme** procurement programmes managed by other EU co-fund early of innovative bodies (rather high own contribution) action solutions (CoFund)

(PPI)



	Type of Action	Max. funding rate
	Research and innovation action	100%
	Innovation action	70% (except NPOs have 100% funding here as well)
	Coordination and support action	100%
	Programme co-fund action	Between 30% and 70%
	Innovation and market deployment	70% (except NPOs have 100% funding here as well)
	Training and mobility action	100% (lump sums!)
	Pre-commercial procurement action	100%
	Public procurement of innovative solutions action	50%





Type of Action	Max. funding rate
Research and innovation action	100%
Innovation action	70% (except NPOs > 100% funding here as well)
Coordination and support action	100
Pre-commercia Coordination and support action Programme co-fund action Innovation and 1 25% Training and n Pre-commercia Training and n	erneas,
Innovation and	CACEPt NPOs have 100% funding here as well)
Training and n + Z > / ·	100% (lump sums!)
Pre-commerciaurement action	100%
Public procurement of innovative solutions action	50%



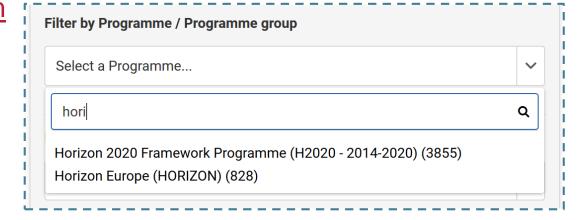
- Min. 3 partners from 3 different eligible countries (except otherwise in call text)
- At least one partner from EU member state
- Associated countries (e.g. Norway, Iceland, Israel, Georgia, Armenia, Turkey, etc.): eligible for funding
- Further requirements in call documents
- Third Country Partners (beyond associated)
 - invited to participate
 - not eligible for funding, except for certain circumstances (e.g. mentioned in the call, necessary to carry out project)

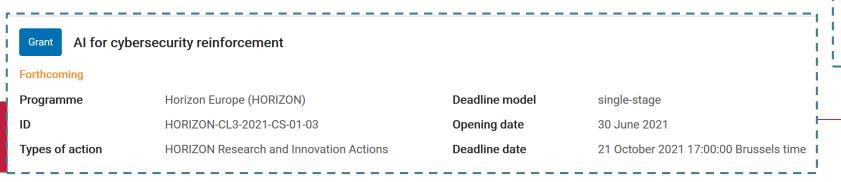




- Fully online submission
- "Submission" system in every call/topic
- https://ec.europa.eu/info/funding-tenders/opportunities/portal/screen/opportunities/topic-

search





Start submission To access the Electronic Submission Service, please click on the submission changed in the submission system. Upon confirmation, you will be linked. To access existing draft proposals for this topic, please login to the Fund. Please select the type of your submission: HORIZON Research and Innovation Actions [HORIZON-RIA], Start submission



Proposal in two parts

Part A

(Online Form)

- administrative part of proposal
 - Partner description
 - Contacts
 - Abstract
 - Ethical aspects
 - Budget
 - etc.

Part B

(Word-template, submit as pdf)

- Scientific part of the proposal
 - Detailed description of the planned project following the given structure in the template
- Page limits for Part B, examples...
 - RIA und IA: 45 pages
 - CSA: 30 pages
 - 1st-stage: 10 pages



Important Aspects for your application



Know the policy and strategic papers of the EC. (keywords)



Highlight the Innovative Character of your proposal.



Interdisciplinarity, Gender, Open Science, etc.



Meet exactly the "Scope" of the very call.



Describe clearly the relation to the expected Impact in the call.



Demonstrate the quality of your work plan, partners and resources.





Three criteria (weighting according to respective work programme)

'Excellence' – scientific excellence, methods, etc. (e.g. 50%)
'Impact' – pathways to achieve outcome and maximise impact (e.g. 30%)

'Quality and efficiency of the implementation' – work plan (e.g. 20%)

Individual evaluation

Consensus group

Panel Review

Finalisation

Min. 3 Experts of one proposal

All Experts of one proposal

Proposals in ranking

Final Ranking from EC





",Time-to-Grant" = 5 + 3

Results: 5 months from deadline

Grant preparation: 3 months until signature of the grant

8 months after deadline earliest projectstart

Deadline

Specific date

Evaluation

5 months from the Deadline

Grant
Preparation
Phase

3 months after approval

Projectstart

8 months after deadline (deviations possible)



WORKPROGRAMMES

The calls







Calls – open to every topic – yearly calls



European Research Council

Marie Skłodowska-Curie

Research Infrastructures

- Pillar 1: Basic Research
- European Research Council (ERC)
 - Starting Grant (2-7 years after doctorate)
 - Consolidator Grant (7-12 years after doctorate)
 - Advanced Grant (12+ years after doctorate)
- Marie Skłodowska-Curie Actions (MSCA)
 - Doctoral Networks (DN)
 - PhD students
 - Postdoctoral Fellowships (PF)
 - Max. 8 years after doctorate
 - Individual grants
 - Incoming or outgoing
 - For exchange of postdocs



Calls – bottom up and thematic – yearly calls



European Innovation Council

European innovation ecosystems

European Institute of Innovation & Technology*

- Pillar 3: Technology Research
- European Innovation Council (EIC)
 - Accelerator: for SMEs (Research partner as Subcontractor)
 - Pathfinder: new Technology concepts in collaboration with companies
- European Institute of Technology (EIT)
 - Industry oriented



Calls – thematic



Clusters

Pillar II GLOBAL CHALLENGES & EUROPEAN INDUSTRIAL COMPETITIVENESS

- Health
- Culture, Creativity & Inclusive Society
- Civil Security for Society
- Digital, Industry & Space
- Climate, Energy & Mobility
- Food, Bioeconomy, Natural Resources, Agriculture & Environment

Joint Research Centre

- Pillar 2: Thematic Calls
- 6 Cluster
- One dedicated work programme for every cluster for a specific period
- Topic texts in the work programmes
- Work programme for years
 - -2023/24
 - 2025
 - 2026-2027



Structure of the work programme

- Introduction to the topics covered by the work programme
- Destination: description of the general objectives of the work programme, budget and deadlines for the relevant calls / topics;
- Call: Overview of the topics including their individual budget, the project budget and the number of projects that will be funded in the topic

Horizon Europe - Work Programme 2021-2022 Climate, Energy and Mobility

Destination 1 – Climate sciences and responses for the transformation towards climate neutrality

Europe has been at the forefront of climate science and should retain its leadership position to support EU policies as well as international efforts for a global uptake of climate action in line with the Paris Agreement and the Sustainable Development Goals (SDGs), including

Conditions for the Call

Indicative budget(s)¹⁹

Topics	Type of Action	Budgets (EUR million)	Expected EU contribution per project (EUR million) ²⁰	Number of projects		
		2021		to be funded		
Opening: 24 Jun 2021 Deadline(s): 14 Sep 2021						
HORIZON-CL5-2021-D1-01-01	RIA	24.00	Around 8.00	3		
HORIZON-CL5-2021-D1-01-02	RIA	15.00	Around 5.00	3		
HORIZON-CL5-2021-D1-01-03	CSA	9.00	4.00 to 5.00	2		



Structure of the workprogramme

- Topics: expected proposals
 - Title
 - Specific Conditions related to topic:
 type of project and budget
 - Expected outcome: important for your impact section
 - Scope: what should be covered by the project

HORIZON-CL5-2021-D1-01-01: Improved understanding of greenhouse gas fluxes and radiative forcers, including carbon dioxide removal technologies

Specific conditions		
Expected EU contribution per project	The Commission estimates that an EU contribution of around EUR 8.00 million would allow these outcomes to be addressed appropriately. Nonetheless, this does not preclude submission and selection of a proposal requesting different amounts.	
Indicative budget	The total indicative budget for the topic is EUR 24.00 million.	
Type of Action	Research and Innovation Actions	
Eligibility conditions	The conditions are described in General Annex B. The following exceptions apply:	

<u>Expected Outcome</u>: Project results are expected to contribute to all of the following expected outcomes:

- Improved knowledge in the addressed areas, also through increasing the use of high quality data, leading to a better understanding of the processes driving climate change.
- Improved projections of climate change (including in relation to climate change-related

<u>Scope</u>: This topic aims at filling fundamental gaps in our understanding of Earth system, focussing on greenhouse gas (GHG) fluxes and Earth system feedbacks, the behaviour of radiative forcers (including their pre-cursors), and efforts to stabilise global temperature through deployment of carbon dioxide (CO₂) removal approaches.



Beneficiaries are encouraged to take advantage of the relevant national and/or European research infrastructures (e.g. ICOS, ACTRIS etc.).

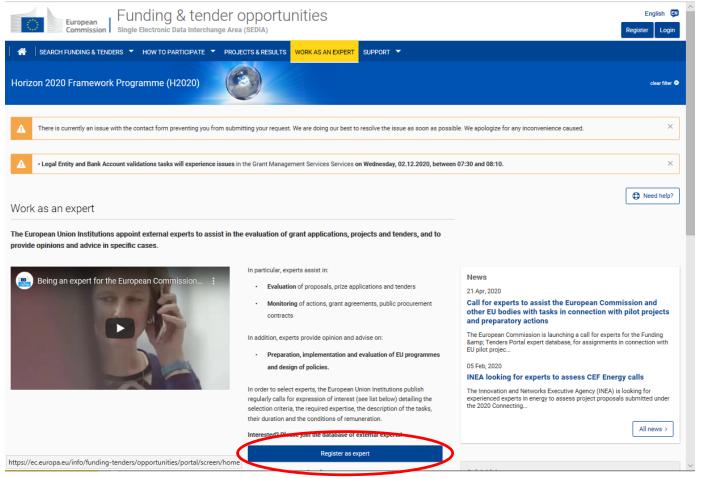
How to read the work programme?

Recommendation

- 1. Check the table of content for interesting topics OR search the document with keywords for relevant topics.
- 2. When you found a topic, study the relevant "Destination" text as well as the "Introduction" of the work programme.
- 3. Go through the referred documents in these texts as well to find relevant information to refer to in your proposal.
- 4. Check the relevant political context for your proposal topic.
- 5. Check previously funded projects in your topic on Cordis (https://cordis.europa.eu/projects/en)







The best way to learn, is by doing.

Register as an expert in the Funding & Tenders Portal of the European Commission.

https://ec.europa.eu/info/fundingtenders/opportunities/portal/screen/w ork-as-an-expert



Links to more information

Thema	Link	
Funding & Tenders Portal of the European Commission	https://ec.europa.eu/info/funding- tenders/opportunities/portal/screen/home	
Kowi Factsheet for participation in Horizon Europe	https://www.kowi.de/Portaldata/2/Resources/kowi/KoWi_Factsheet_Beteiligungsregeln_Horizon_Europe.pdf	
German Portal for "Horizont Europa"	https://www.horizont-europa.de/	
Official Website of the European Commission about Horizon Europe	https://ec.europa.eu/info/research-and- innovation/funding/funding-opportunities/funding- programmes-and-open-calls/horizon-europe_en	
Introduction to the Portal of the European Commission	https://ec.europa.eu/research/participants/docs/h2020- funding-guide/other/event210527.htm	



QUESTIONS and ANSWERS



Q&A

